



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Thomas Kipps et al.

Serial No.: 08/982,272

Filed: December 1, 1997

For: NOVEL EXPRESSION VECTORS  
CONTAINING ACCESSORY  
MOLECULE LIGAND GENES AND  
THEIR USE FOR  
IMMUNOMODULATION AND  
TREATMENT OF MALIGNANCIES  
AND AUTOIMMUNE DISEASE

Group Art Unit: 1644

Examiner: P. Gambel

Patent  
231/0031844  
#  
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## AMENDMENT TRANSMITTAL

## Box Fee Amendment

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment and Response for the above-identified application.

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)		FEE FOR SMALL ENTITY		FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/>	\$55.00	<input type="checkbox"/>	\$110.00
2 months	<input type="checkbox"/>	\$200.00	<input type="checkbox"/>	\$400.00
3 months	<input checked="" type="checkbox"/>	\$460.00	<input type="checkbox"/>	\$920.00
4 months	<input type="checkbox"/>	\$720.00	<input type="checkbox"/>	\$1,440.00
5 months	<input type="checkbox"/>	\$980.00	<input type="checkbox"/>	\$1,960.00

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

March 04, 2002  
Date of Deposit

Linda Johnson

Name of Person Mailing Paper

Signature of Person Mailing Paper

08982272

00000137 122475

03/18/2002 CUB111

460.00 CN

02 FC:217

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.
- ☒ Extension fee due with this Request **\$460.00**.
- ☐ If an additional extension of time is required, please consider this a petition therefor.

**FEES FOR CLAIMS:**

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

The fees for claims (37 CFR § 1.16(b)-(d)) have been calculated as shown below:

Total Claims	45	-	50	=	0	x	\$18.00	\$0.00
Independent Claims	7	-	7	=	0	x	\$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)					<input checked="" type="checkbox"/>	\$280.00
TOTAL OF ABOVE CALCULATIONS								\$280.00
Reduction by ½ for Filing by Small Entity.								
Note 37 CFR §§ 1.9, 1.27, 1.28.								<input checked="" type="checkbox"/> \$140.00
TOTAL FEES FOR CLAIMS SUBMITTED HEREWITH								\$140.00

- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$600.00**.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **12-2475**.

Respectfully submitted,

LYON & LYON LLP

By:

*Rt Abbati*  
Rita A. Abbati  
Reg. No. 50,715

Dated: March 4, 2002

633 West Fifth Street  
Suite 4700  
Los Angeles, CA 90071-2066  
(858) 552-8400



Patent  
231/003

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AMENDMENT AND RESPONSE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action mailed November 2, 2001, and further to the telephonic interview conducted on January 22, 2002, Applicants amend as follows and make the following remarks:

AMENDMENT

In the claims:

Please cancel pending claims 91, 112, 123 - 136.

Please amend pending claims 89, 90, 92, 99, 100, 101, 103, 104, 108, 109 and

111 as follows.